

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : James P. Dourish

TITLE : **AN ACTIVE ANNOTATION  
MECHANISM FOR DOCUMENT  
MANAGEMENT SYSTEMS**

SERIAL NO. : 09/443,702

FILED : November 19, 1999

EXAMINER : Maikhanh Nguyen

ART UNIT : 2176

LAST OFFICE ACTION : April 25, 2003

ATTORNEY DOCKET NO. : D/99330  
XERZ 2 00299

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**DECLARATION UNDER 37 C.F.R. § 1.131**

Dear Sir:

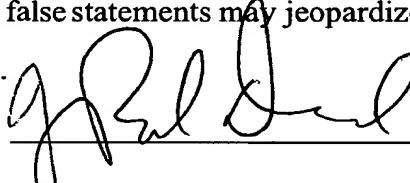
I, James P. Dourish, do hereby declare and say that I am the sole inventor in the above-identified United States patent application.

I have read and am familiar with U.S. Patent No. 6,397,213 to Cullen et al. (hereinafter the '213 patent).

This declaration is to establish completion of the invention in this application in the United States, at a date prior to May 12, 1998, that is the effective date of the '213 patent. This declaration is being submitted prior to a final rejection issuing in the above-identified United States patent application.

To establish the completion of the invention of this application, the attached Exhibit A (*i.e.*, a redacted copy of an Invention Proposal Submitted to the Xerox Intellectual Property Department) is presented as evidence. From Exhibit A, it can be seen that the invention in this application was possessed by the below signed Inventor who hereby declares and states that the relevant portions of Exhibit A predate May 12, 1999, which is the effective date of the '213 patent. In particular, Exhibit A shows a method and/or apparatus of providing an active annotation mechanism for use in association with a document of a document management system.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

8/20/03  
James P. Dourish (Date)